Intractable Problems

Notes on Automata and Theory of Computation

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Introduction

- Whether there exists a TM for a language draws a line between RE and non-RE languages.
- Whether there exists an always-halting TM for a language draws ^a line between recursive andnon-recursive languages.
- Being recursive may not be good enough as the time to finish computation may be unbearably long.
- The time complexity of an algorithm M is the maximum
number of moves $T(n)$ (worst-case) needed for M to number of moves $T(n)$ (worst-case) needed for M to
halt on an input of size n halt on an input of size $n.$
- $T(n)$ draws a line between tractable and intractable problems.

Polynomial-Time Algorithm

- An algorithm is said to be polynomial-time if $T(n)$ is a polynomial function of the input size $\mathit{n}.$
- If an algorithm is not polynomial-time, then it issometimes referred to as being exponential.
- Note that the term *non-polynomial* is more exact than
the term expenential, as there exist functions that are the term *exponential*, as there exist functions that are
botween polynomial and exponential between polynomial and exponential.

$$
p(n) = o(f(n)); f(n) = o(e^n).
$$

P **and Intractable Problems**

- A problem Q is said to be in class P if there exists a not represent time deterministic algorithm that solves ℓ *polynomial-time deterministic* algorithm that solves Q .
- Note that to solve ^a problem an algorithm must answercorrectly for *any* instance of the problem.
- Since DTMs model real computers, any instance of a problem in ^P can be answered by ^a computer in polynomial time.
- A problem not in ^P is **intractable**, as its time complexity is more time than any polynomial function.

$$
T(n) > n^k, \quad \forall k \in Z^+
$$

Kruskal's Algorithm

- Consider the problem of finding ^a minimum-weight spanning tree (MWST) for ^a weighted graph.
- **•** Kruskal's algorithm:
	- Initialization: each node is a *component* by itself.
^" All edges are in set $U. \; C$ is empty.
	- Iteration: retrieve the lowest-weight edge l from $U.$ וב ממבו If it connects two components, merge them and put l in $C.$
	- **•** Termination: when only a single component remains. Use C to construct the MWST.

Time-Complexity Analysis

- Suppose there are m nodes and e edges.
- **In each epoch of iteration,**
	- $O(e)$ to find the minimum-weight edge l in U
	- $O(m)$ to find the components for l
	- $O(m)$ to merge the components
- The algorithm finishes in e epochs, since the total number of components reduce by 1 for each epoch.
- The time complexity is $O(e(e + 2m))$, polynomial in m, e .

Encoding for MWST Problem

- We can turn the MWST problem to an equivalent yes-no question: Is there ^a spanning tree with weight less than W ?
- An encoding scheme for a weighted graph and W_{\cdot}

100, 101000(1, ¹⁰, 1111)(1, ¹¹, 1010)(10, ¹¹, 1100)(10, ¹⁰⁰, 10100)

where $m = 4$, $W = 40$, and $(1, 10, 1111)$ represents an
edge of weight 15 from pode 1 to pode 2. Most bits a edge of weight 15 from node 1 to node $2.$ Most bits are used in the representation of edges.

For an input string of length $n, \, e=O(n/\log m).$ In anoctod aranh $\mathsf C$ addition $m=O(e)$ for a connected graph. So

$$
T(n) = O(e(e + 2m)) = O(e^{2}) = O(n^{2}).
$$

Non-deterministic TM and NP

- The exact functional form of $T(n)$ depends on the TM used in the computation. However, as far as beingpolynomial or not is concerned, the variousdeterministic models are the same.
- **•** The real distinction, for the matter of intractability, is between deterministic and non-deterministic TMs.
- A problem R is in the class $\mathcal{NP},$ if R can be solved (any increase of R can be anoughout control in an ALTM in instance of R can be answered correctly) by an NTM in ϵ some polynomial time.

Traveling Salesman Problem

- A salesman of city C is planning a tour to visit every city in ^a list with minimum cost, without visiting any citytwice except for $C.$
- A set of edges that connects all nodes in ^a graph into ^a simple cycle is called ^a Hamilton circuit. TSP is relatedto the problem of Hamilton circuit.
- We are given a weighted graph and we ask if there exists a Hamilton circuit with weight less than $W.$
- TSP is NP. With ^a non-deterministic TM, we can guessed an order (permutation) of the nodes andcheck if the cost is below W_{\cdot}

P **and** NP

By definition,

 $P \subseteq NP$.

An open question in computation theory is \bullet

$$
\mathcal{P}\stackrel{?}{=}\mathcal{NP}.
$$

It is strongly believed that

$$
\mathcal{P}\neq \mathcal{NP}.
$$

In other words, there are problems in NP that cannot be solved in polynomial time by ^a deterministic TM.

Polynomial-Time Reduction

- The method of reduction can be used in thedevelopment of theory of intractability.
- Here we reduce a problem Q_1 $_1$ to Q_2 $_{\rm 2}$ to show that $Q_{\rm 2}$ $_2$ is at least as intractable as $Q_1.$
- Specifically, if $Q_{\rm 1}$ μ nomiol timo to an inctance at ℓ) μ iit $_1$ is not in \mathcal{P} , and every instance of Q_1 reduces in polynomial time to an instance of Q_2 $_2$ with the same answer, then Q_2 $_2$ cannot be in $\mathcal{P}.$
- Note that the reduction algorithm must be deterministicand polynomial-time.

NP**-Complete**

- A problem ^Q is said to be NP**-complete** if
	- $Q \in \mathcal{NP}$.

Every $Q' \in \mathcal{NP}$ is polynomial-time reducible to $Q.$

- If one solves an \mathcal{NP} -complete problem, say Q , then every problem in NP can be solved within a polynomial
times of solving Q time of solving $Q_\textnormal{\texttt{.}}$
- Put in another way, an NP-complete problem has the highest time complexity in NP.
- If (as we believe) $\mathcal{P} \neq \mathcal{NP}$, all \mathcal{NP} -complete problems
ore in \mathcal{NP} . They ing a problem to be \mathcal{NP} complet are in NP – P. Showing a problem to be NP-complete
is showing it to be intractable is showing it to be intractable.

NP**-Complete and** ^P

If some \mathcal{NP} -complete problem is in $\mathcal{P},$ then

 $\mathcal P$ $P = NP$.

This follows since all problems in NP can be solved within ^a polynomial time.

If some \mathcal{NP} -complete problem is not in $\mathcal{P},$ then

 $P \neq \mathcal{NP}$.

This follows from the definition of NP-completeness.

NP**-Hard**

- A problem Q may appear to be so hard that we are not
sure whother α is in \mathcal{N}^{\oplus} sure whether Q is in $\mathcal{NP}.$
- We may be able to find an NP-complete problem Q_1
that reduces to \bigcirc . Then \bigcirc is not simpler than any. that reduces to $Q.$ Then Q is not simpler than any
 $\mathcal{M}^{\mathfrak{m}}$ espekte problems NP-complete problems.
- A problem like Q is said to be $\operatorname{\mathsf{NP}}\nolimits$ -hard.
- Formally, if every $Q_1\in\mathcal{NP}$ is polynomial-time reducible to Q , then Q is $\mathcal{NP}\text{-}\mathsf{hard}.$

Co-NP

- A language L is in Co-NP if its complement L is in NP.
- If L is in NP, then by definition L is in Co-NP.
- If P $P = NP$, then

$$
\mathcal{P} = \mathcal{NP} = \mathbf{Co}\text{-}\mathcal{NP}.
$$

- If L is in ${\mathcal P}$, then L is also in ${\mathcal P}$, and therefore in $\mathcal{NP}.$ ${\bf SO} \ L$ is in ${\bf Co}\text{-}\mathrm{\mathcal{NP}}.$
- If L is in Co-NP, then L is in NP $=$ P. So L is in P.

An NP**-Complete Problem**

- In the theory of undecidability, the universal language L_u plays a fundamental role. To show a problem Q to
be undecidable, we reduce L , to \bigcirc , indeed, we reduc be undecidable, we reduce L_u to Q . Indeed, we reduce L_u to MPCP to PCP to show PCP is undecidable.
- A fundamental problem that is NP-complete is the SATproblem: Can ^a given Boolean expression be true forsome assignment of variable values?
- Once we establish SAT to be NP-complete, we can reduce SAT to a problem Q to show Q is $\operatorname{\mathsf{NP}}$ -complete.

SAT Problem

A **Boolean expression** is built from

- 1. Boolean variables
- 2. binary operators ∧ and \lor for AND and OR
- 3. unary operator¬ \neg for NOT
- 4. parentheses
- A **truth assignment** for E assigns either true (1) or false (0) to each variable in $E.$
- The value of a Boolean expression is either 0 or 1.
- A Boolean expression E is satisfiable if some truth
casisprease makes E true assignment makes E true.

Representing SAT Instances

- We use the following code
	- The operators and parentheses are represented bythemselves.
	- Rename the variables x_1, x_2, \ldots . A variable x_i is represented by x followed by a binary string for $i.$
- Note that the length of code is approximately the same as the number of positions in the expression, countingeach occurrence of variable as one position. If thenumber of positions is $m,$ then the length of code is approximately $m\log m$.

Cook's Theorem

- SAT is NP-complete.
- **•** Two things need to be proved. First, we need to show that SAT is in NP.
- We construct an NTM N for SAT. N guesses the truth
essignment T . If $E(T) = 1$, then essent assignment $T.$ If $E(T)=1,$ then accept.
- **•** The second requirement is to show every problem in NP reduces to SAT with a polynomial time. We show
the reduction contidibity the reduction explicitly.

Notation of Proof

- Suppose L is in \mathcal{NP} and an NTM M accepts L in
nolynomial time $n(n)$ polynomial time $p(n).$
- Without loss of generality, we can assume that M never writes ^a blank or moves left of its initial position.
- If M accepts w with $|w|=n,$ then there exists a
computation computation

$$
\alpha_0 \vdash \alpha_1 \vdash \cdots \vdash \alpha_k, \ k \leq p(n).
$$

- α_0 $_{\rm 0}$ is initial ID.
- α_k $_{k}% =\sum_{i}\sigma_{i}\left(\mathbf{r}_{i}\right) \sigma_{i}$ contains a final state.
- Each α_i consists of non-blanks only. It extends from the initial head position to the right.

Idea of Proof

- Each α_i can be written as a sequence of symbols $X_{i0}\ldots X_{in(n)+1}.$ There is no need to consider X_{in} $\{a \ldots X_{ip(n)+1}.$ There is no need to consider $X_{ip(n)+2}$
- To describe ID's in terms of Boolean variables, we use indicator variable y_{ijA} for $X_{ij}=A$.
- We are going to construct a Boolean expression that is satisfiable iff M accepts w in $p(n)$ moves.
.
- In addition, the satisfying truth assignment will be the one that tells the truth about the ID's. y_{ijA} is true in the
satisfall satisfall assistance of iff \boldsymbol{X} satisfying truth assignment iff $X_{ij}=A.$

.

Representing ID's

- We represent an ID to position $p(n)$ even that may include ^a tail of blanks.
- Assume all computations continue for exactly $p(n)$ moves. We allow $\alpha\vdash\alpha$ if a computation finishes early.
- A polynomial-time computation is then represented by^a matrix.
	- The number of cells is a polynomial.
	- The number of variables that represent each cell isbounded.

Construct Boolean Expression

- Denote E_{Mw} as the target expression we want to
experiment hospid on the essent thrisp of an NTM Λ construct based on the computation of an NTM M on
" w .
- **Overall**

$$
E_{Mw} = S \wedge N \wedge F,
$$

where S, N, F are expressions that ensures that M starts, moves and finishes right.

Starts Right

- The initial ID is q_0w followed by blanks.
- Let $w = a_1 \dots a_n$. Let

 $S\,$ $S = y_{00q_0} \wedge y_{01a_1} \wedge y_{02a_2} \cdots \wedge y_{0na_n} \wedge y_{0n+1B} \cdots \wedge y_{0p(n)B}$

 S would be true only for the intended initial ID. \bullet

Finishes Right

- **•** There is an accepting state in the final ID.
- Let f_1 $f_1 \ldots f_k$ be the final states. Define

$$
F_j = y_{p(n)j} f_1 \vee y_{p(n)j} f_2 \vee \cdots \vee y_{p(n)j} f_k,
$$

which indicates whether the symbol in position j of ID $\displaystyle{p(n), \, X_{p(n)j},}$ is an accepting state.

Let

$$
F = F_0 \vee F_1 \vee \cdots \vee F_{p(n)}.
$$

 F would be true as long as there is an accepting state in the last row.

Next Move Is Right

- This is the most complicated part.
- We construct N such that

$$
N = N_0 \wedge N_1 \wedge \cdots \wedge N_{p(n)}
$$

where

$$
N_i = (A_{i0} \vee B_{i0}) \wedge (A_{i1} \vee B_{i1}) \wedge \cdots \wedge (A_{ip(n)} \vee B_{ip(n)})
$$

- It will be shown that A_{ij} and B_{ij} together take care of correctness of position j in going from α_i to $\alpha_{i+1}.$
- Observe that X_{i+1j} is determined by $X_{ij} _1,X_{ij},X_{ij+1}.$

A_{ij}

- A_{ij} ensures that if X_{ij} is a state then the positions $j,j\pm 1$ are correct.
- Consider $X_{ij-1}X_{ij}X_{ij+1}$ and $X_{i+1j-1}X_{i+1j}X_{i+1j+1}.$
- If X_{ij} is a state, then $X_{i+1j-1}X_{i+1j}X_{i+1j+1}$ and $X_{ij}X_{ij+1}$ are related by the transition function: If $(p, C, L) \in \delta(q, A)$, then $\alpha \; D q A \; \beta \vdash \alpha \; p D C \; \beta$, so we want ^a clause

 $y_{ij-1D} \wedge y_{ijq} \wedge y_{ij+1A} \wedge y_{i+1j-1p} \wedge y_{i+1jD} \wedge y_{i+1j+1C}$

Similarly for $(p, C, R) \in \delta(q, A)$.

 A_{ij} is the OR of all valid terms.

Let $q_1 \ldots q_m$ be the states of M , and $Z_1 \ldots Z_r$ be the
tane symbols tape symbols.

$$
B_{ij} = (y_{ij-1q_1} \vee y_{ij-1q_2} \cdots \vee y_{ij-1q_m}) \vee
$$

\n
$$
(y_{ij+1q_1} \vee y_{ij+1q_2} \cdots \vee y_{ij+1q_m}) \vee
$$

\n
$$
((y_{ijZ_1} \vee y_{ijZ_2} \vee \cdots \vee y_{ijZ_r}) \wedge
$$

\n
$$
((y_{ijZ_1} \wedge y_{i+1jZ_1}) \vee (y_{ijZ_2} \wedge y_{i+1jZ_2}) \cdots \vee (y_{ijZ_r} \wedge y_{i+1jZ_r})))
$$

- B_{ij} is true if one of the positions $j \pm 1$ is a state. The correctness of X_{i+1j} will be taken care of by $A_{ij\pm 1}.$
- B_{ij} is also true if none of the positions $j,j\pm 1$ is a state
and X and $X_{i+1j} = X_{ij}$.

Conclusion of Cook's

Note that the size of E_{Mw} is polynomial in $\vert w \vert.$

- S has $p(n)$ variables.
- F has $p(n) + 1$ F_j 's, and each F_j has k variables.
- N has $p(n)$ N_i 's, and each N_i has $p(n) + 1$ $(A_{ij}\vee B_{ij})$'s. Each B_{ij} has $m+m+r+2r$ variables and each A_{ij} has $mr\ast6$ variables.
- Since k,m,r are constants for given M , the size of E_{Mw} is in the order of $(p(n))^2.$ Writing E_{Mw} is thus polynomial time.

Restricted SAT Problems

- We are going to use SAT to show that some well-known problems, e.g. TSP, are NP-complete.
- We first consider restricted versions of SAT, calledCSAT, k -SAT and 3 SAT. We show SAT problem a nrohlame in nolvnomial time reduces to these problems in polynomial time.
- **•** These problems reduce to the well-known problems, such as IS (independent set), NC (node cover), DHC(directed Hamilton circuit), HC.
- The reduction involves constructing specific graphs for certain SAT problems.

Conjunctive Normal Forms

- A **literal** is either ^a variable or ^a negated variable.
- A **clause** is the OR of one or more literals.
- We often use $^-$ for negation (¬), $+$ for OR (∨) and
preduct for AND (△) product for AND (\wedge).

$$
(x + \bar{y})(yz) \Rightarrow (x \lor \neg y) \land (y \land z)
$$

- A Boolean expression is said to be in **conjunctive normal form**, or **CNF**, if it is the AND of clauses.
- Specifically, it is in $k\text{-}\mathsf{CNF}$ if it is the product of clauses, each of which is the sum of k distinct literals.

CSAT and ³**SAT**

- CSAT: Given a Boolean expression in CNF, is it satisfiable?
- ³SAT: Given ^a Boolean expression in ³-CNF, is it satisfiable?
- Both problems are NP-complete.
	- We first reduce SAT to CSAT in polynomial time.
	- We then reduce CSAT to 3SAT.

Converting to CNF

- Two Boolean expressions are *equivalent* if they have the same value for any truth assignment to theirvariables.
- In reducing SAT to CSAT, given instance E of SAT, our
seek in to construct an instance E in CSAT augh that E goal is to construct an instance F in CSAT such that E is satisfiable iff F is. It is not necessary that E and F are equivalent.
- **•** There are two steps in this conversion.
	- 1. Construct E^{\prime} that is equivalent to $E.$
	- 2. Construct F that is satisfiable iff E^{\prime} is.

$\bf{Construction}$ of E'

- For any E in SAT, we can construct an equivalent E^{\prime} such that the negation is on literals only. Furthermore, the length of E^{\prime} is linear in the number of symbols in $E,$ and E^{\prime} can be constructed in polynomial time.
- **•** This can be proved by mathematical induction with the help of *DeMorgan's laws*.

$$
\neg(E \land F) \Rightarrow \neg(E) \lor \neg(F)
$$

$$
\neg(E \lor F) \Rightarrow \neg(E) \land \neg(F)
$$

$$
\neg(\neg(E)) \Rightarrow E
$$

Try an example would be convincing.

Construction of F

- If E^{\prime} as previously defined is of length n (the number of positions), then there is an F such that
	- F is in CNF, with at most n clauses.
	- F can be constructed from E' in time cn 2.
	- A truth assignment T' for E' makes E' true iff an extension S of T^{\prime} makes F true.
- The tricky part of proof is the inductive case where $E'=E_1'\vee E_2'$ $F_1 = g_1 \wedge \cdots \wedge g_p$ and $F_2 = h_1 \wedge \cdots \wedge h_q$. Introduce a $_2^{\prime}$. Note $F_1\vee F_2$ is not in CNF. Let variable y and define F in ${\sf CNF}$

$$
F = (y + g_1) \wedge \cdots \wedge (y + g_p) \bigwedge (\bar{y} + h_1) \wedge \cdots \wedge (\bar{y} + h_q)
$$

Proof

- Suppose T' makes E' true. Then an extension S of T' including y makes F true:
	- Either E_1^{\prime} or E_2^{\prime} is true.
	- If E_1' is true, then an extension S_1 of T_1' makes F_1 true (by inductive assumption). Then assigning $y=0$ makes F true.
	- Similarly for the case E_2^{\prime} is true.
- Suppose S satisfies F . Then there exists a T' where S is an extension of T' satisfies E^{\prime} .
	- If $y=0$, F_1 must be true. S_1 exists and T_1^\prime for E_1^\prime exists by inductive assumption.
	- Similarly for the case $y=1$.

CSAT to ³**SAT**

- We can convert an expression $E = e_1 \wedge \cdots \wedge e_m$ in CNF
to one in 2 CNF as follows to one in ³-CNF as follows.
	- If $e_i = (x)$ is single, replace e_i by

$$
x \to (x + u + v)(x + u + \overline{v})(x + \overline{u} + v)(x + \overline{u} + \overline{v})
$$

If $e_i = (x + y)$ contains two literals, replace e_i by

$$
x + y \to (x + y + \overline{z})(x + y + z)
$$

If $e_i = (x_1 + \cdots + x_m)$, replace e_i by

 $(x_1+x_2+y_1)(x_3+\overline{y_1}+y_2)(x_4+\overline{y_2}+y_3)...(x_{m-1}+x_m+\overline{y_{m-3}})$

More NP**-Complete Problems**

- With ³SAT, we now show that some problems in graphs are NP-complete.
- Description for NP-complete problems.
	- name
	- **s** input
	- output
	- **•** reduce from
- **C** Example
	- **CSAT**
	- ^a Boolean expression in CNF
	- Yes, if satisfiable
	- SAT

Independent Set Problem

- An independent set of a graph $G = (V, E)$ is a subset I
of V auch that no nodes in I is connected by an odes. of V such that no nodes in I is connected by an edge.
- The independent set problem is described by
	- \bullet IS
	- a graph G and a lower bound k
	- Yes, if G has an independent set of k nodes
.
	- 3SAT
- We need to construct an instance (G, k) of IS based on an instance E of 3SAT.

Reducing ³**SAT to IS**

- Given an E in 3-CNF with m clauses, we construct a
araph C such that graph G such that
	- For a clause in E we create a clique of three
reades with each nede representing a literal nodes, with each node representing ^a literal.
	- **There is an edge between the node for a literal and** the node for its complement.
- An independent set of size m in G indicates E is
satisfiable by setting the literals of the podes in t satisfiable by setting the literals of the nodes in the IStrue.
	- One node in each clique is chosen.
	- A literal and its complement cannot be chosensimultaneously.

Node-Cover Problem

- A node cover of a graph $G = (V, E)$ is a subset C of V
aush that seeb adge in E has at least and of its and such that each edge in E has at least one of its end
reades in G nodes in $C.$
- **•** The node-cover problem is described by

O NC

- a graph G and a lower bound k
- Yes, if G has a node cover of k or fewer nodes
.
- \bullet IS
- Note that the complement of a node cover is an independent set. The reduction of an instance of IS toan instance in NC is merely the change k in IS to $n-k$ <u>La nodocuttu</u> in NC. A graph has a node cover of $n-k$ nodes iff it has an independent set of k nodes.

Directed Hamilton Circuit

- A Hamilton circuit in a directed graph $G = (V, E)$ is a
directed simple avele that serpects all pedes directed simple cycle that connects all nodes.
- **•** The directed Hamilton circuit problem is described by
	- DHC
	- a directed graph G
	- Yes, if G has ^a directed Hamilton circuit
	- 3SAT

Reducing ³**SAT to DHC**

Suppose E is a k -clause 3-CNF with n variables.

- For each variable x_i we construct a subgraph H_i , which is shown in Figure 10.9(a). a_i, d_i are the entry/exit nodes. $\mathit{b}_{ij}, \mathit{c}_{ij}$ are nodes designed to indicate whether $x_i = 1$ or 0 .
- For each clause e_j we will have a subgraph $I_j,$ which is shown in Figure 10.9(c). Note ^a cyclemust enter and leave in the same column.
- H_i and I_j are connected as shown in Fig 10.10:
– For x_i in e_j we pick an unused c_{ip} for r_j and b_{ip+1} for u_j . Likewise, for $\overline{x_i}$ in e_j we pick an unused b_{ip} for r_j and c_{ip+1} for $u_j.$
- A DHC in G indicates E is satisfiable by setting the
variables of the H is secondinaly. variables of the H_i 's accordingly.

Hamilton Circuit Problem

- A Hamilton circuit in an undirected graph $G = (V, E)$ is
a simple sycle that connects all pades. ^a simple cycle that connects all nodes.
- The Hamilton circuit problem is described by
	- H
	- an undirected graph G
	- Yes, if G has ^a Hamilton circuit
	- DHC
- The reduction goes as follows. Given an instance G for
DUC we construct an instance G' of UC. Each nade DHC, we construct an instance G^{\prime} of HC. Each node v in G corresponds to 3 nodes v v^0 . v^1 and v^1 . v^2 are connected. For a directed ed 0 $, v^{\centerdot}$ 1 $, v^{\texttt{-}}$ 2 in G^\prime , where (u, w) in G , we have undirected edges (u^2, w^0) in G 0 $, v^{\centerdot}$ 1 and v 1 $, v^{\texttt{-}}$ $^{\rm 2}$ are connected. For a directed edge 2 $,w$ 0 $^{0})$ in $G^{\prime}.$

Traveling Salesman Problem

Description

- TSP
- an undirected graph G with weights on the edges,
and a limit k and a limit k
- Yes, if there is ^a Hamilton circuit such that the sumof edges is less or equal to k
- H
- The reduction goes as follows. Given an instance G for
LIC we construct an instance G' of TCD that is exectly. HC we construct an instance G^{\prime} of TSP that is exactly like G except that we assign the weight on every edge
to be 1. Selving G' of TSD for l , we ealyee G of HG to be 1. Solving G' of TSP for $k=n$ solves G of HC .

Randomized TM

- Sometimes we need random numbers in an algorithm. For example, in *quick sort*, the pivot can be chosen randomly.
- **•** How do we implement randomization with a TM?
- **Answer: we can use an extra tape which stores** random bits, with each bit being 1 with probability $1/2$. It is equivalent to flipping ^a fair coin at every move.
- A randomized TM is given in Figure 11.7. $qUVDE$ means the TM enters state q , writes symbols $U, V,$ and moves in the directions D, E for the input tape and
readers tape random tape.

Monte-Carlo TM

- For ^a randomized TM, acceptance and the run timebecomes random.
- The language L of a Monte-Carlo TM M is defined by
Event is in Lie assessed by Mujith arabability
	- Every w is in L is accepted by M with probability at
least 1 /? least $1/2$.
	- If w is not in L , then M does not accept w with
probability 1 probability $1.$
- In one simulation, if x is accepted by M , then $x\in L$. If x is not accepted by $M,$ the x may or may not be in $L.$
- In other words, there is ^a chance for false rejection for $w\in L$ but not a chance for false acceptance for $w\notin L$.

Class RP

A language L is in class \mathcal{RP} (*Random Polynomial*) if

- L is accepted by a MC TM M .
- There is a polynomial $T(n)$ such that for any input w of size $n, \, M$ halts in no more than $T(n)$ steps for
any simulation any simulation.
- M is also called a polynomial-time MC algorithm.

Las-Vegas TM

- A randomized TM that always halts and gives thecorrect answer is called ^a Las-Vegas TM.
- That is, for an LV TM M , the acceptance event does not depend on the random tape content. There is nochance of error.
	- $w \in L(M)$ is accepted by M with probability 1.
A $L(M)$ is rejected by M with probability 1.
	- $w \notin L(M)$ is rejected by M with probability 1.

Class ZPP

- The expected time of M to halt on input w depends on w .
- We define another class of languages by the expectedtime of computation.
- A language *L* is in class \mathcal{ZPP} (*Zero-error, Probabilistic,*
Calumential if Polynomial) if
	- L is accepted by a LV TM M .
	- There is a polynomial $T(n)$ such that for any input w of size $n,$ the expected time for M to halt on w is
no more than $T(\bar{n})$ no more than $T(n).$

 $P \subseteq \Sigma P P$

It can be shown that

$$
\mathcal{P} \subseteq \mathcal{ZPP} \subseteq \mathcal{RP} \subseteq \mathcal{NP}
$$

If L is in $\mathcal P$, then L is accepted by a deterministic TM M . M is a special case of randomized TM which treats
random bits 0 and 1 equally. So *L* is in γ PP random bits 0 and 1 equally. So L is in $\mathfrak{ZPP}.$

$\mathcal{ZPP} \subseteq \mathcal{RP}$

- If L is in \mathfrak{ZPP} , then L is accepted by a LV TM M with
expected time $T(n)$ expected time $T(n).$
- We can construct a TM N from $M: N$ simulates the
computation of M for $2T(x)$ stops. If M accopts, the computation of M for $2T(n)$ steps. If M accepts, then
 N accepts N accepts.
	- If $w\in L,$ then N accepts with probability at least ¹/².
	- If $w \notin L$, then N accepts with probability $0.$
- N is a MC TM for L that halts in $2T(n)$ steps. So L is in
നന RP.

$RP \subseteq NP$

- Suppose L in $\mathcal{RP},$ then there is a polynomial-time MC
The actor L $\mathsf{T}\mathsf{M}$ M for $L.$
- We can construct an NTM N from M . Whenever a
random bit of M is scannod for the first time N random bit of M is scanned for the first time, N
chooses one of two alternatives corresponding chooses one of two alternatives corresponding to bit ⁰ or ¹ nondeterministically and writes the bit on its tape. Then N simulates the running of M .
- If $w \in L$, then w is accepted by M , and also by N . If $w \notin L,$ then w is not accepted by $M,$ and also not by $N.$